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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/659,864      | 09/12/2000  | J. Leslie Vogel III  | 0044860.P2436       | 5866             |

7590 03/09/2005

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| EXAMINER |
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TRAN, TONGOC

| ART UNIT | PAPER NUMBER |
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2134

DATE MAILED: 03/09/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|                              |                        |                     |  |
|------------------------------|------------------------|---------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b> | <b>Applicant(s)</b> |  |
|                              | 09/659,864             | VOGEL, J. LESLIE    |  |
|                              | <b>Examiner</b>        | <b>Art Unit</b>     |  |
|                              | Tongoc Tran            | 2134                |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 15 November 2004.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-45 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-45 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

1. This office action is in response to Applicant's amendment filed on 11/11/2004. Claim 37 has been amended. Claims 1-45 are pending.

#### ***Claim Objections***

2. Claims 21 and 31 are objected to because of the following informalities:  
the underlined phrase of "sending data encrypted with the channel key to the station" appears to be a typographical error.

Appropriate correction is required.

#### ***Response to Arguments***

3. Applicant's arguments filed 11/11/2004 have been fully considered but they are not persuasive.

Applicant contends that the cited prior art, Lewis (U.S. Patent No. 6,526,506) and Quick Jr. (U.S. Patent No. 6,178,506), fails to teach "generating, by the station, authentication information using a first key when the security preference is shared key". Applicant argues that the claim recites "generating" (not "encrypting") the authentication information using a key as the Examiner appears to have misread the "generating" as "encrypting". However, the Specification discloses the "station now authenticates itself by transmitting authentication information to the AP...the station encrypts the user and password using the self-distributed key and the pre-defined shared key algorithm to create the authentication information that is sent to the AP" (Specification, page 12,

Art Unit: 2134

lines 1-4), “[t]he station uses the AP public key to encrypt the user name and password” (Specification, page 12, lines 15-16), “[t]he station encrypts the challenge with its CHAP key to create the authentication information”

(Specification, page 13, lines 15-17). Therefore, within the context that is disclosed in the Specification, the cited portion of Quick, “[t]he terminal generates a public/private key pair...optionally concatenates the public key with random number and encrypts the number with password” (Quick, col. 3, lines 1-10), met the limitation of “generating, by the station, authentication information using a key when the security preference is shared key”. Furthermore, Applicant contends that Lewis only discloses exchange of encryption key but not security preferences as defined by Applicant. Lewis and Quick teaches authentication required before wireless secure access can be connected between the mobile station and the access point, therefore, it is inherently required that the access point communicate the security preference to the mobile station before any secure data communication can take place because without such communication how is the mobile station know what encryption algorithms the access point is equipped with in order to establish secure communication. Applicant contends Lewis discloses that the mobile device requests the current encryption key from the key distribution server upon connection and Lewis describes the key distribution server as separate from the access point. Whether the requesting source or receiving destination is connected through one or multiple intermediate computer system would not make it patentably distinct.

Art Unit: 2134

Furthermore, Applicant contends that neither Lewis nor Quick disclose a message data structure as claimed in independent claim 42. Examiner respectfully disagrees. Lewis discloses "the access point includes a memory coupled to the processor. The memory stores program code executed by the processor for controlling the other elements within the access point to carry out the function described herein...to carry out the operations described herein using conventional programming technique..." (e.g. col. 7, lines 50-65).

### ***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 9-17, 19-22, 24-27, 29-32, 34-38, 40-48 and 50-51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lewis (U.S. Patent No. 6,526,506) in view of Quick Jr. (U.S. Patent No. 6,178,506, hereinafter Quick).

In respect to claim 1, Lewis discloses a method of establishing a secure wireless communications channel between an access point and a station, the channel being encrypted with a channel key, the method comprising:

sending, by the station to the access point, a request for a security preference for the access point (see Lewis, col. 6, lines 43-58);

Art Unit: 2134

sending, by the access point to the station, the security preference in response to the request when the access point can support the channel (see Lewis, col. 6, lines 43-58);

sending, by the station to the access point, the authentication information (see Lewis, col. 4, lines 27-42);

validating, by the access point, the station using the authentication information; encrypting, by the access point, the channel key using a second key

when the station is validated (see Lewis, col. 4, lines 27-42 and col. 5, lines 29-41);

sending, by the access point to the station, the encrypted channel key (see Lewis, col. 5, lines 29-41);

decrypting, by the station, channel key in response to receiving the encrypted channel key; and sending, by the station to the access point, data encrypted with the channel key to establish the channel (see Lewis, col. 5, line 10-col. 6, line 17).

Lewis discloses the mobile terminal sending authentication information (registering) with the access point (see Lewis, col. 4, lines 28-35) but does not explicitly disclose encrypting the authentication information. However, Quick discloses encrypting authentication information from mobile terminal to access point (see Quick, col. 3, lines 1-10). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teaching of Quick's encrypting the authentication information with the

Art Unit: 2134

teaching of Lewis' registering the mobile terminal with the access point in order to protect the use identification and password from compromise during the registration process (Quick, col. 2, lines 46-9).

In respect to claim 2, Lewis and Quick disclose the method of claim 1, wherein the first and second keys are a self-distributed key (see Quick, col. 4, line 45-col. 5, line 8).

In respect to claim 3, Lewis discloses the method of claim 1, Lewis wherein the first and second keys are a self distributed key and further comprising:

generating, by the access point, the self-distributed key using a security algorithm when the security preference is shared key; generating, by the station and sending to the access point, a first value using the security algorithm in response to receiving the security preference of shared key; generating, by the access point, and sending to the station, a second value using the security algorithm and the first value in response to receiving the first value; and calculating, by the station, the self-distributed key using the security algorithm and the second value in response to receiving the second value (see Quick, col. 4, line 45-col. 5, line 8).

In respect to claim 9, Lewis and Quick disclose the method of claim 2 further comprising:

encrypting, by the station, a name and password with the first key to generate the authentication information; and decrypting, by the access point, the name and password to validate the station (see Quick, col. 4, line 45-col. 5, line

Art Unit: 2134

8).

In respect to claim 10, Lewis and Quick disclose the method of claim 2 further comprising:

sending, by the access point to the station, a challenge; encrypting, by the station, the challenge with the first key to generate the authentication information; encrypting, by the access point, the challenge with the first key; and comparing, by the access point, the authentication information with the challenge encrypted by the access point with the first key to validate the station (see Quick, col. 4, line 45-col. 5, line 8)

In respect to claim 11, Lewis and Quick disclose the method of claim 1, wherein the first key is a public key of a public-private key pair for the access point, and the second key is a public key of a public-private key pair for the station (see Quick, col. 4, line 45 -col. 5, line 8).

In respect to claim 12, Lewis and Quick disclose the method of claim 11 further comprising:

sending, by the access point to the station, the first key; and.

sending, by the station to the access point, the second key (see Quick col. 4, line 45-col. 5, line 8)

In respect to claim 13, Lewis and Quick disclose the method of claim 12, wherein the second key is sent to the access point when the request for the security preference is sent by the station (see Quick, col. 4, line 45-col. 5, line 8).

In respect to claim 14, Lewis and Quick disclose the method of claim 12, wherein the first key is sent to the station when the security preference is sent by



Art Unit: 2134

the access point (see Quick, col. 4, line 45-col. 5, line 8).

In respect to claim 15, Lewis discloses the method of claim 1, wherein establishing the channel creates a standard wired equivalent privacy (WEP) network, and the station and the access point exchange messages conforming to a format required by the standard that defines a WEP network to establish the WEP network (see Lewis, col. 2, lines 18-43).

In respect to claim 16, 21, 26, 31 and 36-37, 40, 42-47 and 50, the claim limitations are substantially similar to claim 1. Therefore, claims 16, 21, 26, 31, 36-37, 40, 42-47 and 50 are rejected based on the similar rationale.

In respect to claim 17, the claim limitation is substantially similar to claim 3. Therefore, claim 17 is rejected based on the similar rationale.

In respect to claim 19, the method of claim 16 further comprising:  
using a first key to generate the authentication information; and  
using a second key to decrypt the encrypted channel key (see Lewis, col. 5, line 10-col. 6, line 17).

In respect to claims 20, 25, 30, 35, 41 and 51, the claim limitations are substantially similar to claim 11. Therefore, claims 20, 25, 30 and 35 are rejected based on the similar rationale.

In respect to claims 24, 29 and 34, the claim limitations are substantially similar to claim 19. Therefore, claims 24, 29 and 34 are rejected based on the similar rationale.

In respect to claim 22, the claim limitation is substantially similar to claim 3. Therefore, claim 22 is rejected based on the similar rationale.

Art Unit: 2134

In respect to claim 27, the claim limitation is substantially similar to claim 17. Therefore claim 27 is rejected based on the similar rationale.

In respect to claim 32, the claim limitation is substantially similar to claim 22. Therefore, claim 32 is rejected based on the similar rationale.

In respect to claim 38, Lewis and Quick disclose the secure wireless network of claim 37, wherein access point is further operable for encrypting the shared channel key using a self-distributed key for sending to the station and the station is further operable for decrypting the shared channel key upon receipt (see Quick, col. 4, line 45-col. 5, line 8).

In respect to claim 48, the claim limitation is substantially similar to claim 38. Therefore, claim 48 is rejected based on the similar rationale.

5. Claims 4-8, 18, 23, 28, 33, 39 and 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lewis (U.S. Patent No. 6,526,506) in view of Quick Jr. (U.S. Patent No. 6,178,506, hereinafter Quick) and further in view of Schneier ("Applied Cryptography, Second Edition, Protocols, Algorithms, and Source Code in C", John Wiley & Sons, Inc., 1996, hereinafter Schneier).

In respect to claim 4, Lewis and Quick disclose the method of claim 3. Lewis and Quick do not disclose but Schneier discloses wherein the security algorithm is  $g \bmod p$  and further comprising: obtaining, by the access point, integers  $x$ ,  $g$  and  $p$  to generate the self-distributed key  $k = g^x \bmod p$ ; obtaining, by the station, the integers  $g$  and  $p$ , and an integer  $y$  to generate the first value  $Y = g^y \bmod p$ ; generating, by the access point, the second value  $X = Y^x \bmod p$ ; and

Art Unit: 2134

setting, by the,  $z$  equal to  $y^{-1}$  to calculate the self-distributed key  $k = XZ \bmod p$  (see Schneier, page 515, Hughes). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teaching of Schneier with the teaching of Lewis's wireless communication between mobile and access point and Quick's Diffie-Hellman's protocol with Schneier's teaching of Hughes' protocol so that key can be computed before any interaction between the mobile station and the access point (see Schneier, page 515, Hughes and Key Exchange Without Exchanging Keys). In respect to claim 5, Lewis, Quick and Schneier disclose the method of claim 4 wherein obtaining, by the station, the integers  $g$  and  $p$  comprises:

sending, by the access point (Bob) to the station (Alice), the integers for  $g$  and  $p$  (see Schneier, page 515,  $g$  and  $n$ ).

In respect to claim 6, Lewis, Quick and Schneier disclose the method of claim 5, wherein the integers for  $g$  and  $p$  ( $g$  and  $n$ ) are sent to the station (Alice) when the security preferences are sent by the access point (Bob) (see Schneier, page 515, Hughes).

In respect to claim 7, Lewis, Quick and Schneier disclose the method of claim 5, wherein  $g$  and  $p$  are sent to the station when a user name and password for the station are registered with the access point (see Quick, col. 4, line 60 to col. 5, line 8).

In respect to claim 8, Lewis, Quick and Schneier discloses the method of claim 4 further comprising:

Art Unit: 2134

publishing, by the access point, the integers  $g$  and  $p$  for a set of stations (see Schneier, page 515).

In respect to claims 18, 23, 28 and 33, the claim limitations are substantially similar to claim 4. Therefore, claims 18, 23, 28 and 33 are rejected based on the similar rationale.

In respect to claim 39, Lewis and Quick disclose the secure wireless network of claim 38. Lewis and Quick do not disclose but Schneier discloses wherein the station and the access point are further operable for calculating the self-distributed key by exchanging messages in accordance with the Hughes transmission protocol (see Schneier, page 515, Hughes). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teaching of Schneier with the teaching of Lewis's wireless communication between mobile and access point and Quick's Diffie-Hellman's protocol with Schneier's teaching of Hughes' protocol so that key can be computed before any interaction between the mobile station and the access point (see Schneier, page 515, Hughes and Key Exchange Without Exchanging Keys).

In respect to claim 49, the claim limitation is substantially similar to claim 39. Therefore, claim 49 is rejected based on the similar rationale.

### ***Conclusion***

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 2134

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tongoc Tran whose telephone number is (571) 272-3843. The examiner can normally be reached on 8:30-5:00.


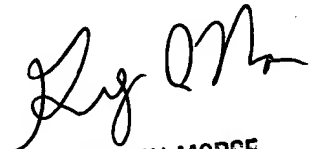
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory Morse can be reached on (571) 272-3838. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2134

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Examiner: Tongoc Tran  
Art Unit: 2134

TT

  
March 3, 2005  
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SUPERVISORY PATENT EXAMINER  
TECHNOLOGY